
IMPROVING ABSTRACT GRADUAL TYPING SEMANTICS

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EXECUTIVE SUMMARY

- Abstracting Gradual Typing (AGT): systematically construct gradually-typed languages from statically-typed ones
 - Semantics satisfy desirable properties (safety, soundness, gradual criteria)
 - But! saturate programs with runtime checks, no matter how static
 - Hand-crafted gradual languages sprinkle checks sparsely
 - Fully static programs have no runtime checks
 - Question: Can we systematically derive sparse checking regimes?

GRADUAL TYPING

Less
Typed

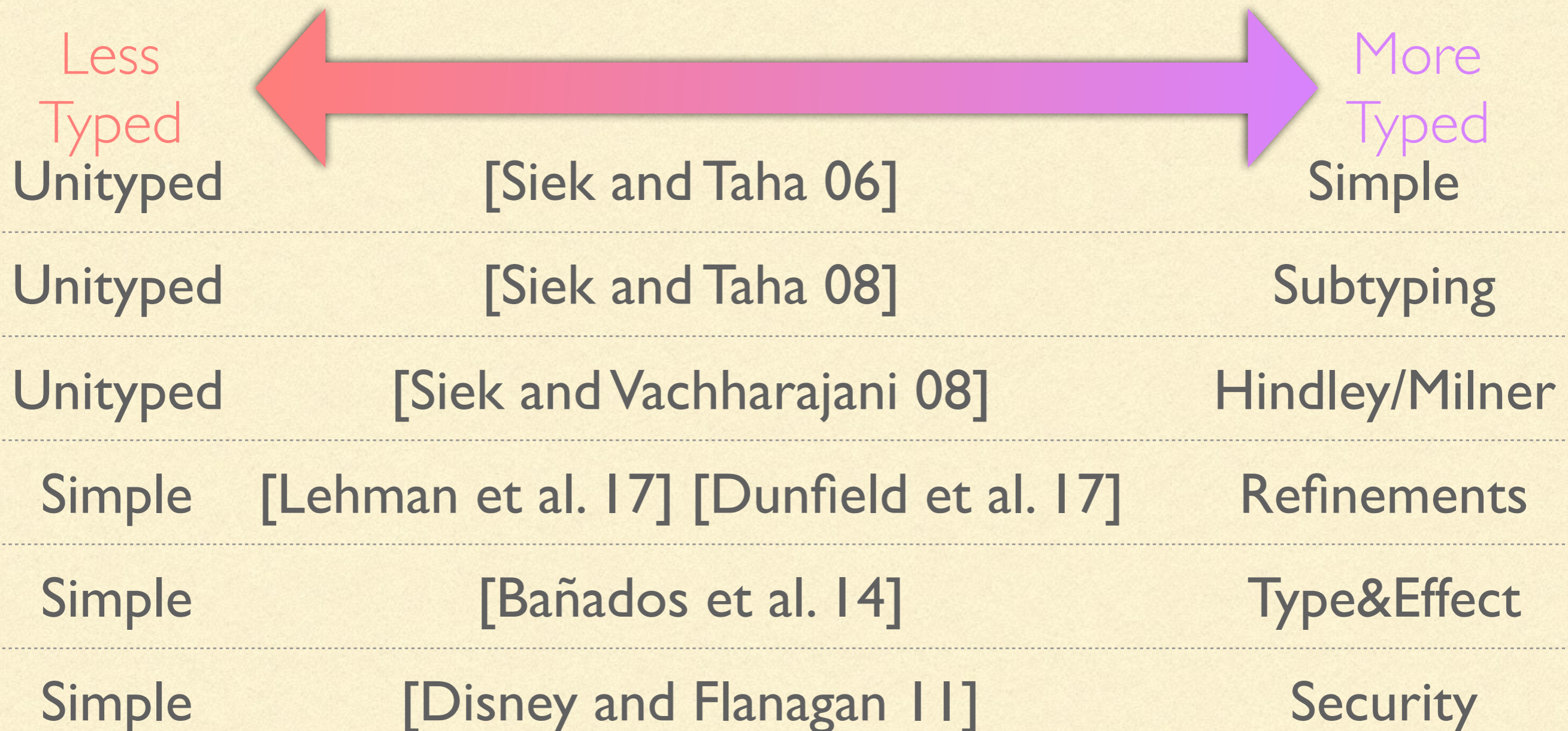


More
Typed



Sound Reasoning Principles

GRADUAL TYPING



GRADUAL TYPING

“Dynamic”:
Most Studied

Less
Typed

More
Typed

Untyped

[Siek and Taha 06]

Simple

Untyped

[Siek and Taha 08]

Subtyping

Untyped

[Siek and Vachharajani 08]

Hindley/Milner

Simple

[Lehman et al. 17] [Dunfield et al. 17]

Refinements

Simple

[Bañados et al. 14]

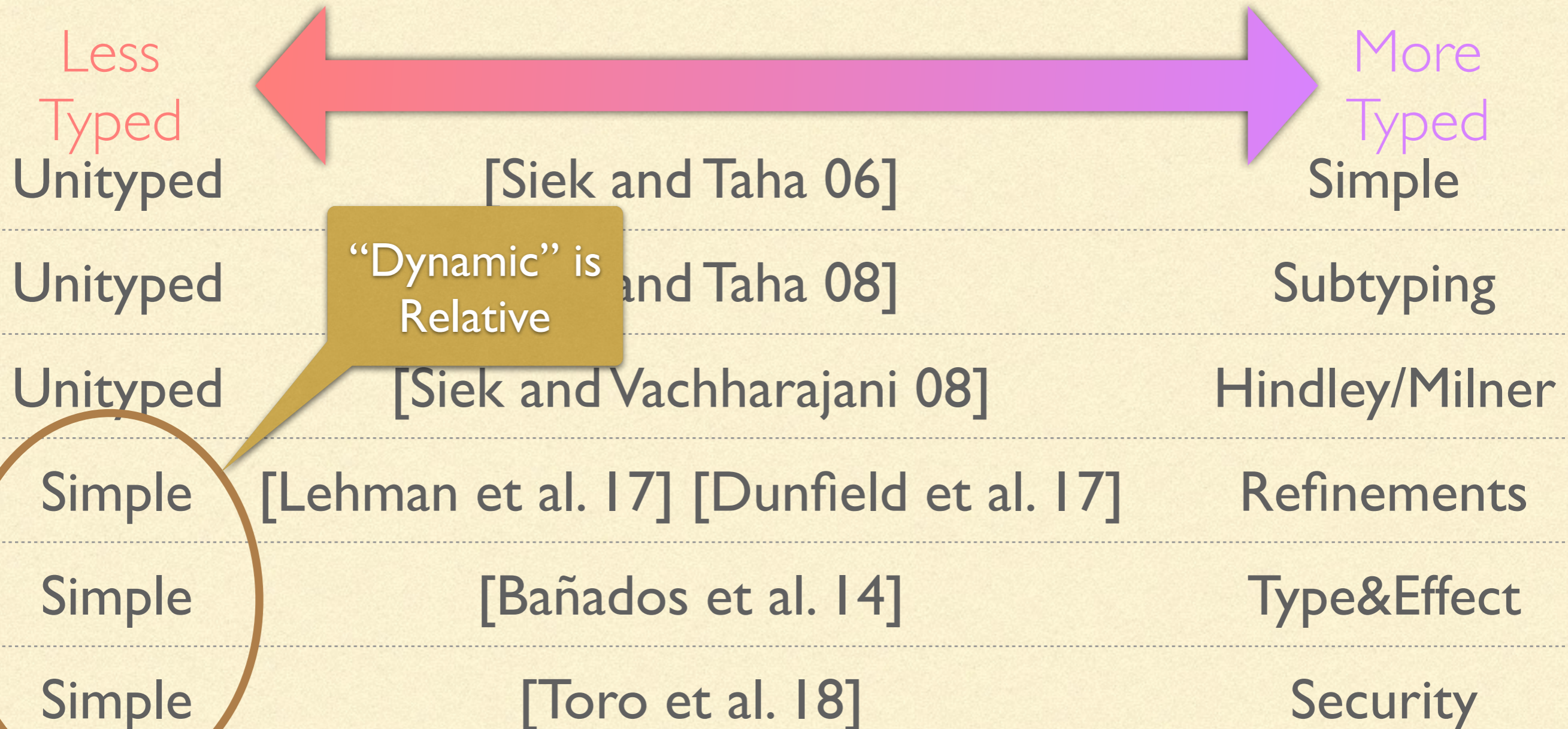
Type&Effect

Simple

[Disney and Flanagan 11]

Security

GRADUAL TYPING



MIXED PROGRAMMING

```
def f(x:int) = x + 2
```

```
def h(g) = g(true)
```

```
h(f)
```

FROM MIXED TO GRADUAL

```
def f(x:int) = x + 2
def h(g) = g(true)
h(f)
```

desugar

```
def f(x:int) = x + 2
def h(g:?) = g(true:?) :?
h(f:?)
```

Imprecise
Types

[Siek & Taha, 2006]

[Wadler & Findler, 2009]

? = "The Unknown Type"

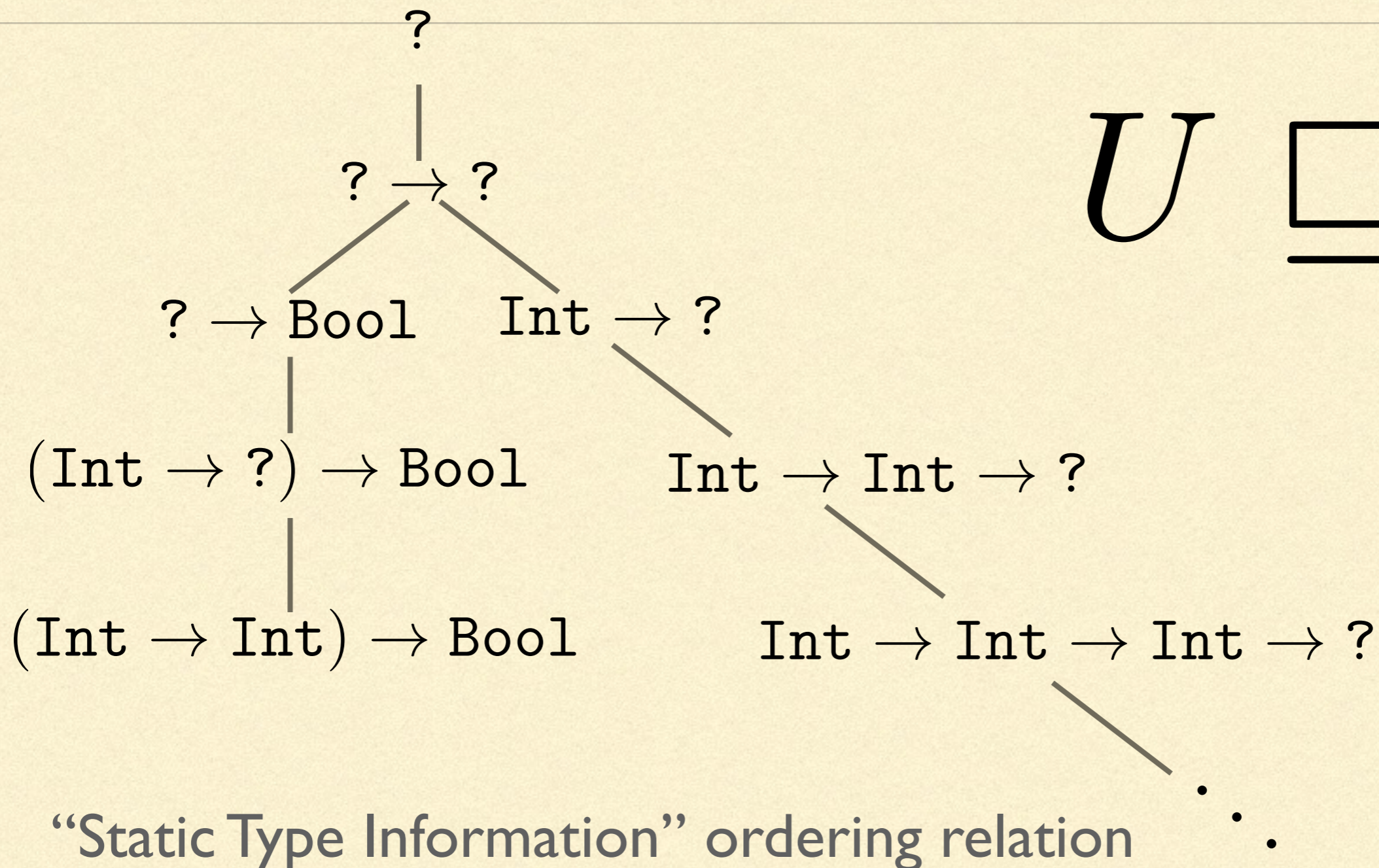
STATIC AND GRADUAL TYPES

Static Types (Type) $T ::= B \mid T \rightarrow T$

Gradual Types (GType) $U ::= ? \mid B \mid U \rightarrow U$

$\text{TYPE} \subseteq \text{GTYPE}$

GRADUAL TYPE PRECISION



CONSISTENT LIFTING(*)

$$U_1 \sim U_2$$

Gradual Type
Consistency

if and only if

$$\sqcup \parallel \sqcup \parallel$$

$$T_1 = T_2$$

Static Type
Equality

For some T_1 and T_2

(*) Reformulation of original definition

STATIC CHECKING

static type equality

gradual type consistency

$\text{Int} = \text{Int}$

$\text{Bool} = \text{Bool}$

$\text{Int} \rightarrow \text{Bool} \neq \text{Bool} \rightarrow \text{Int}$

extend

$\text{Int} \sim \text{Int}$

$\text{Bool} \sim \text{Bool}$

$\text{Int} \rightarrow \text{Bool} \not\sim \text{Bool} \rightarrow \text{Int}$

$? \sim \text{Bool}$

$? \rightarrow \text{Bool} \sim \text{Bool} \rightarrow ?$

Consistency conservatively extends equality

CONSISTENT LIFTING

$$U_1 \lesssim U_2$$

Consistent
Subtyping

if and only if

$$\sqcup \parallel \sqcup \parallel$$

$$T_1 <: T_2$$

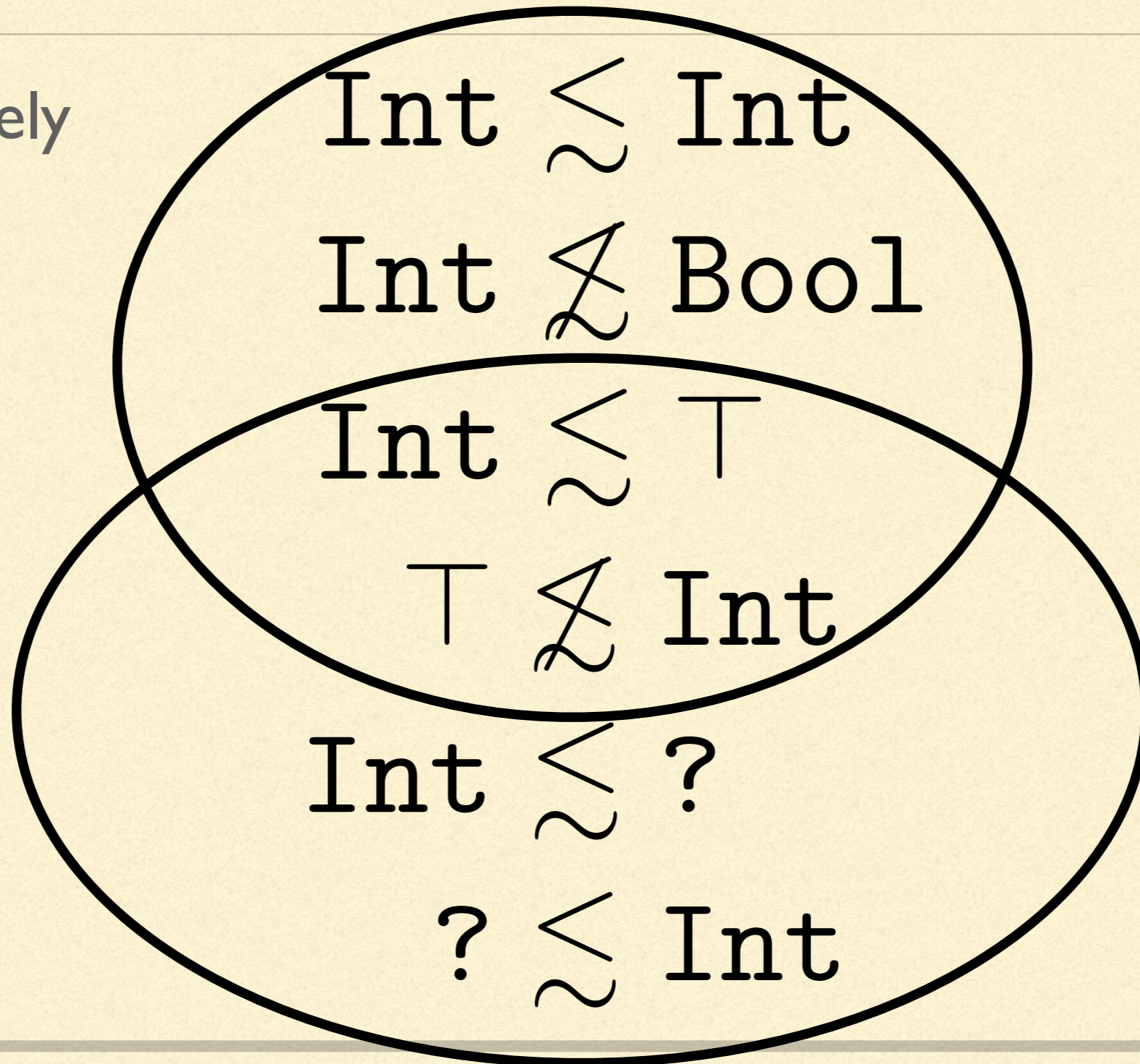
Static
Subtyping

For some T_1 and T_2

CONSISTENT LIFTING

Conservatively
Extends
 \leq :

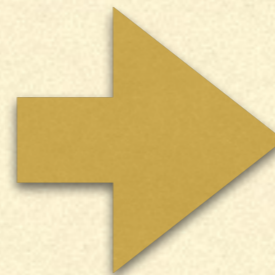
“unknown”
is not the
“top” type



LIFT TYPING RULES

Static Type System

$$\frac{\begin{array}{l} \Gamma \vdash t_1 : T_1 \quad T_1 = \text{Int} \\ \Gamma \vdash t_2 : T_2 \quad T_2 = \text{Int} \end{array}}{\Gamma \vdash t_1 + t_2 : \text{Int}}$$



Gradual Type System

$$\frac{\begin{array}{l} \Gamma \vdash t_1 : U_1 \quad U_1 \sim \text{Int} \\ \Gamma \vdash t_2 : U_2 \quad U_2 \sim \text{Int} \end{array}}{\Gamma \vdash t_1 + t_2 : \text{Int}}$$

CLASSIC DYNAMIC SEMANTICS

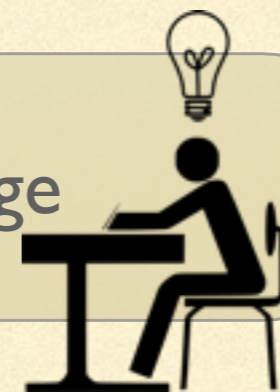
Static
Checking

Source Language

Type-Directed
Translation

Runtime
Checking

Instrumentation Language

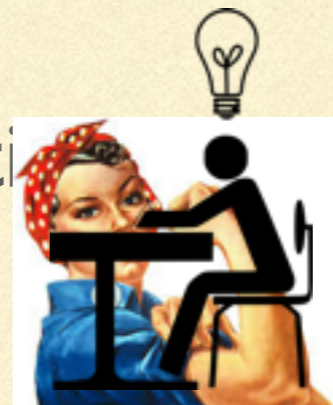


“Cast Calculus”

THE JOY OF NOT THINKING

"It is a profoundly erroneous truism, repeated by all copy-books and by eminent people when they are making speeches, that we should cultivate the habit of thinking of what we are doing. The precise opposite is the case. Civilization advances by extending the number of important operations which we can perform without thinking about them. Operations of thought are like cavalry charges in a battle---they are strictly limited in number, they require fresh horses, and must only be made at decisive moments."

Alfred North Whitehead. "An Introduction to Mathematics"



static type system &
type safety proof

interpretation of
gradual types



Abstracting Gradual Typing

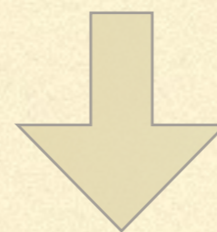
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gradual language

TYPE
SYSTEM

DYNAMIC
SEMANTICS

SEMANTICS OF GRADUAL TYPES

e.g.,

$$\gamma : \text{GTYPE} \rightarrow \mathcal{P}^+(\text{TYPE})$$

$$\gamma(\text{Int}) = \{ \text{Int} \}$$

$$\gamma(\text{Int} \rightarrow ?) = \{ \text{Int} \rightarrow T \mid T \in \text{TYPE} \}$$

$$\gamma(?) = \text{TYPE}$$

Concretization Function

$$U_1 \sqsubseteq U_2 \equiv \gamma(U_1) \subseteq \gamma(U_2)$$

SEMANTICS OF GRADUAL TYPES

e.g.,

$$\alpha : \mathcal{P}^+(\text{TYPE}) \rightarrow \text{GTYPE}$$

$$\alpha(\gamma(U)) = U$$

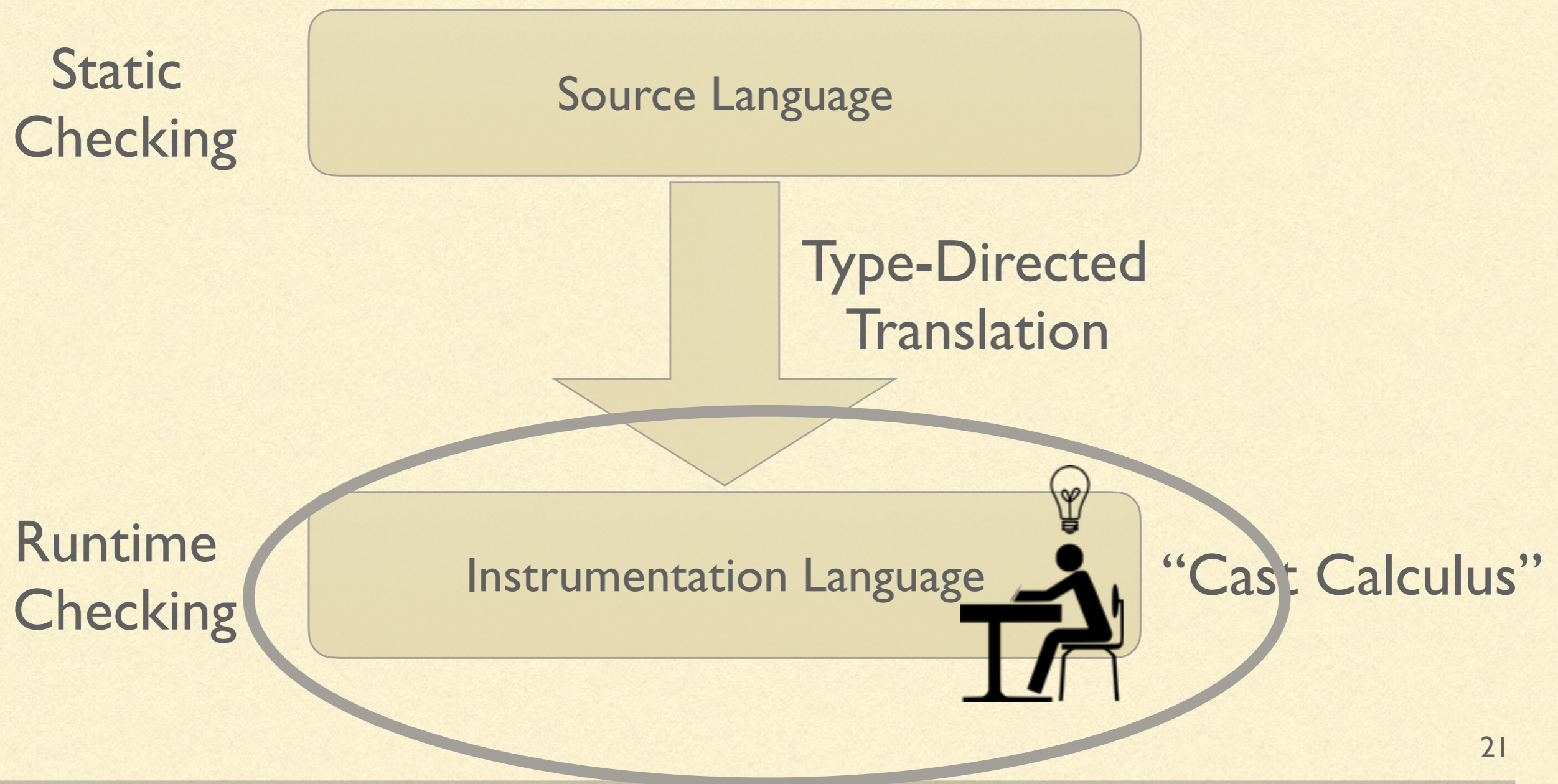
$$\alpha(\{ \text{Int}, \text{Bool} \}) = ?$$

$$\alpha(\{ \text{Int} \rightarrow \text{Int}, \text{Bool} \rightarrow \text{Int} \}) = ? \rightarrow \text{Int}$$

Abstraction Function
(induced by Concretization)

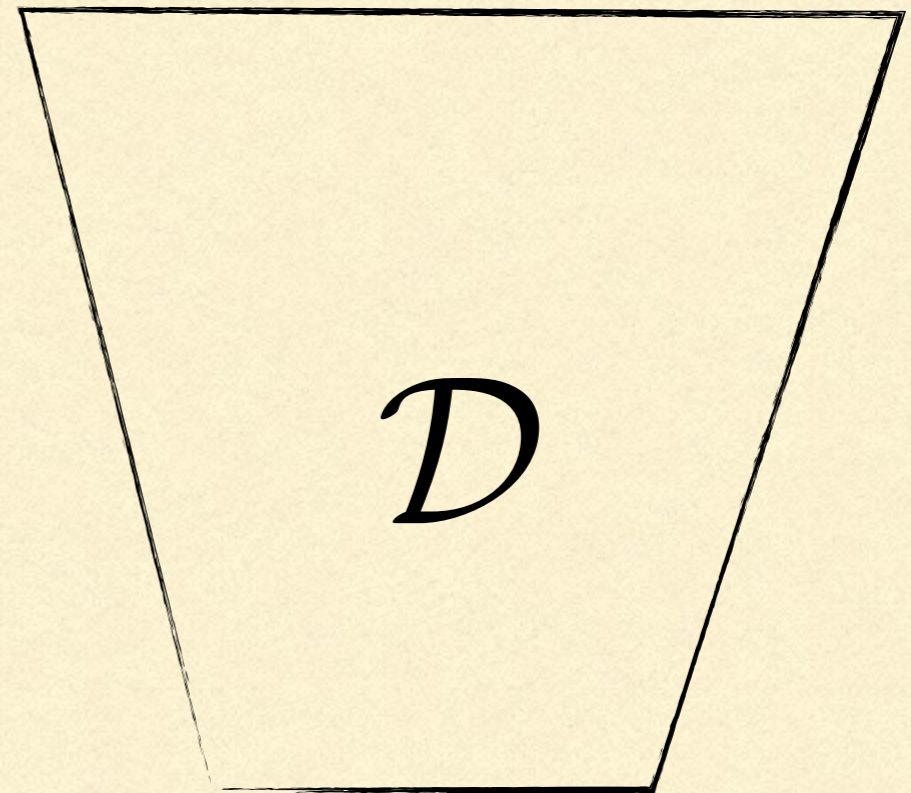
$$U_1 \overset{\sim}{\dot{\vee}} U_2 = \alpha(\gamma(U_1) \overset{\cdot\cdot}{\vee}^* \gamma(U_2))$$

CLASSIC DYNAMIC SEMANTICS



AGT DYNAMIC SEMANTICS

“Instrumentation Language”

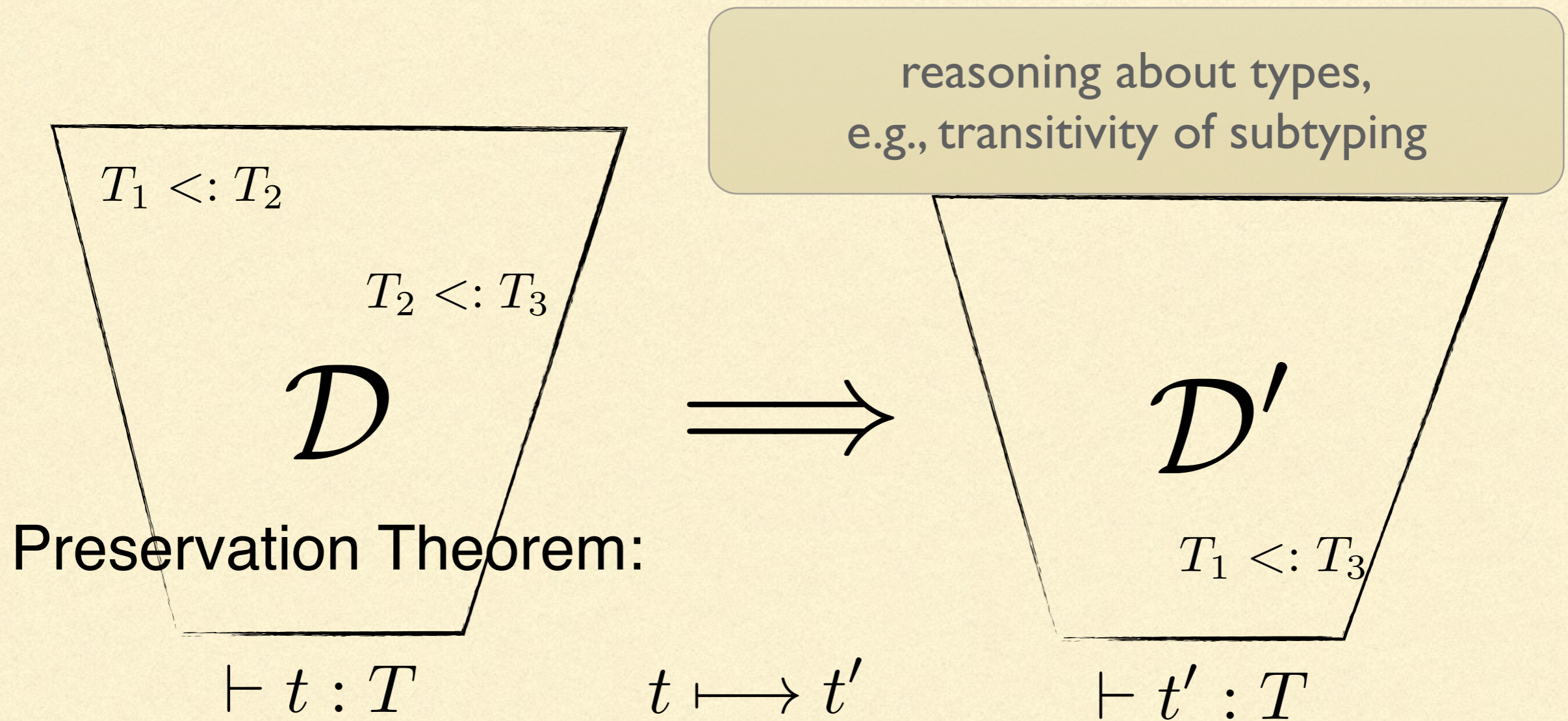


t

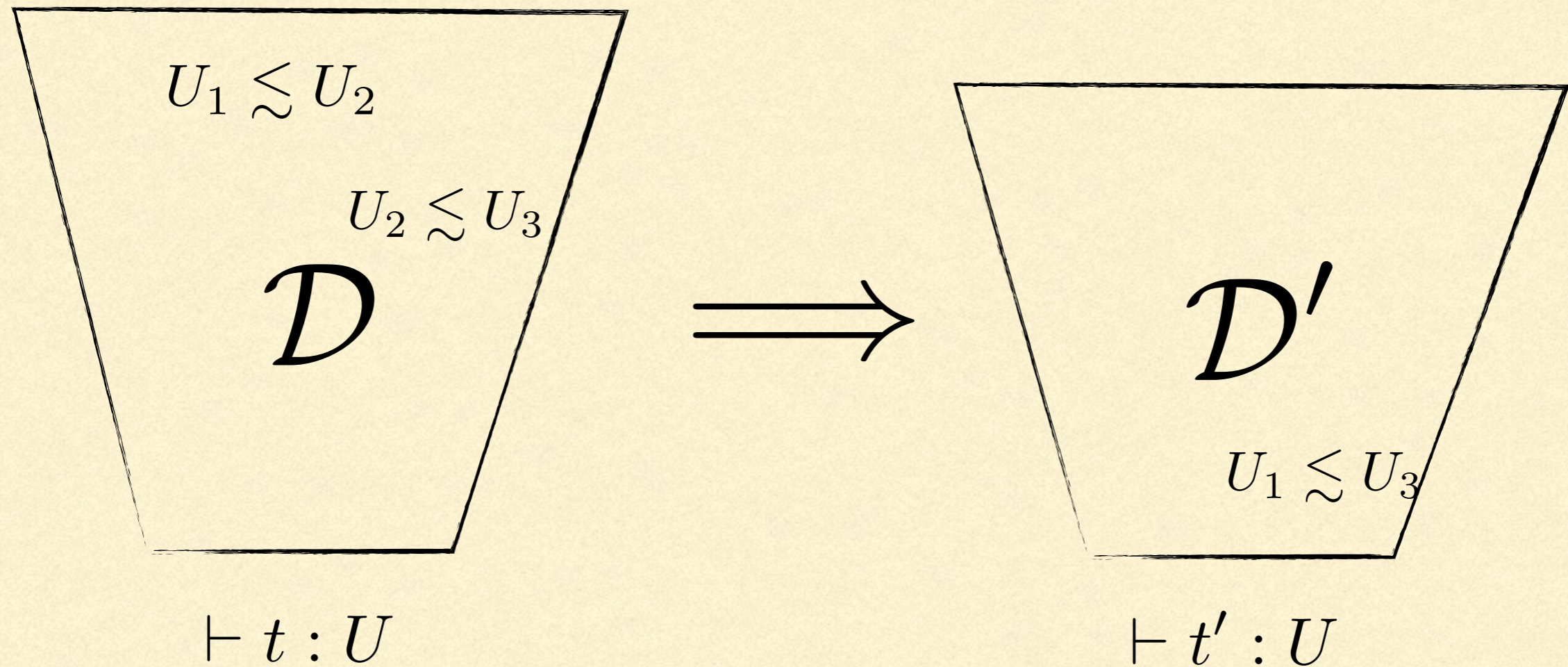


$\vdash t : T$

(SUBJECT) REDUCTION



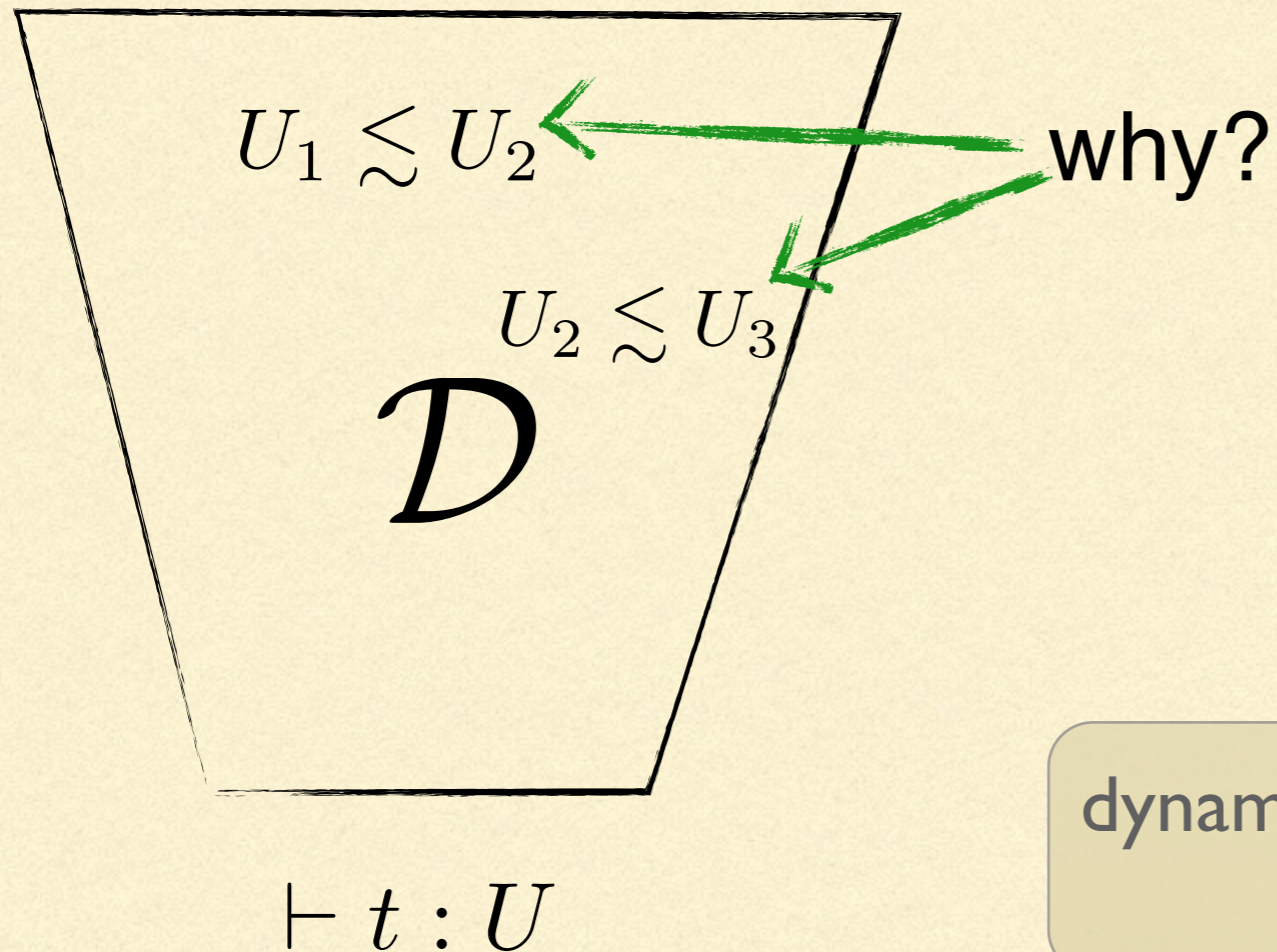
REDUCE TYPE DERIVATIONS!



consistent subtyping is *not* transitive!

$\text{Int} \lesssim ?$ and $? \lesssim \text{Bool}$ but $\text{Int} \not\lesssim \text{Bool}$

EVIDENCE OF CONSISTENT JUDGMENTS

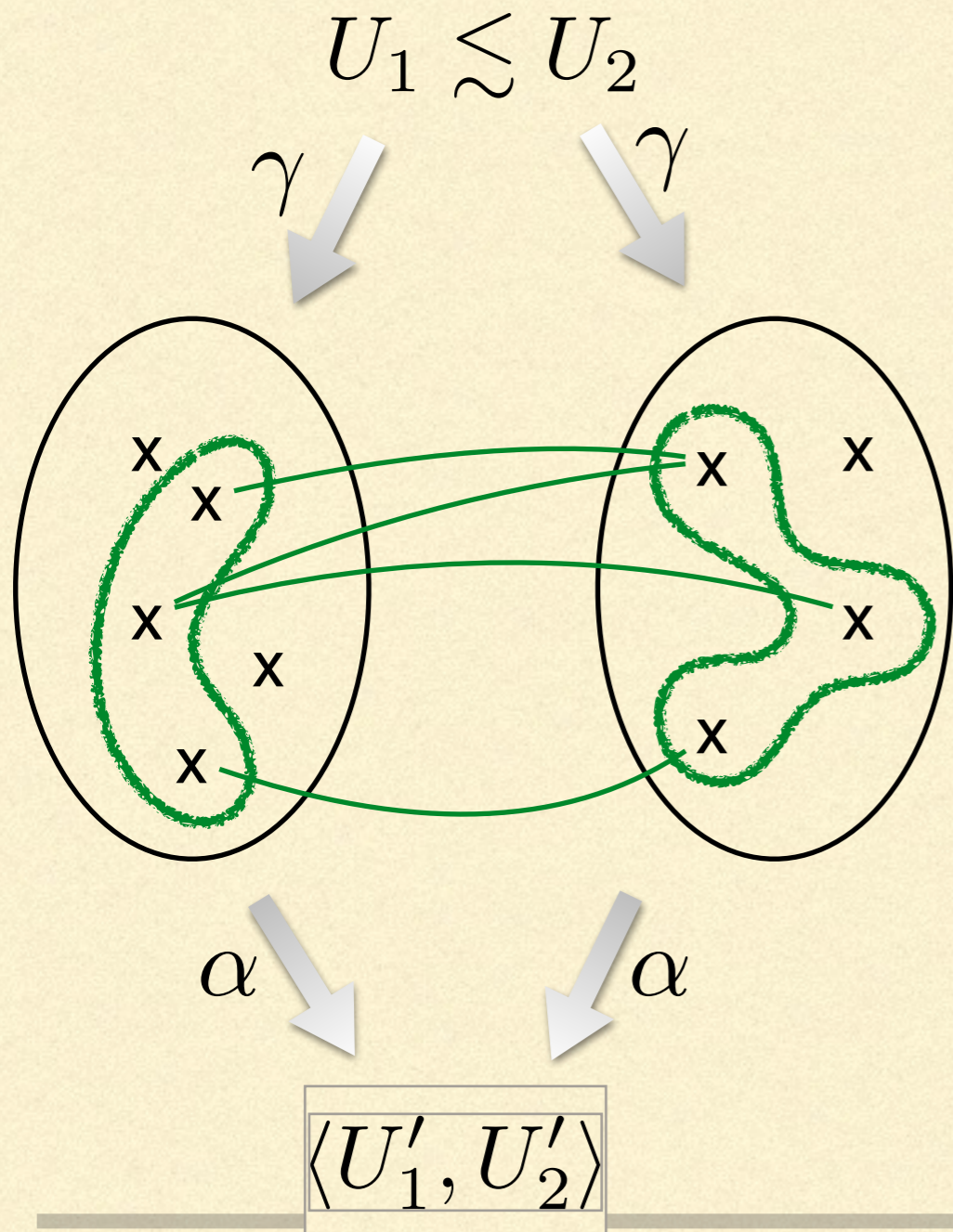


introduce **evidence**

$$\varepsilon_{12} \vdash U_1 \lesssim U_2$$

dynamic “local justification” for consistent subtyping

INITIAL EVIDENCE

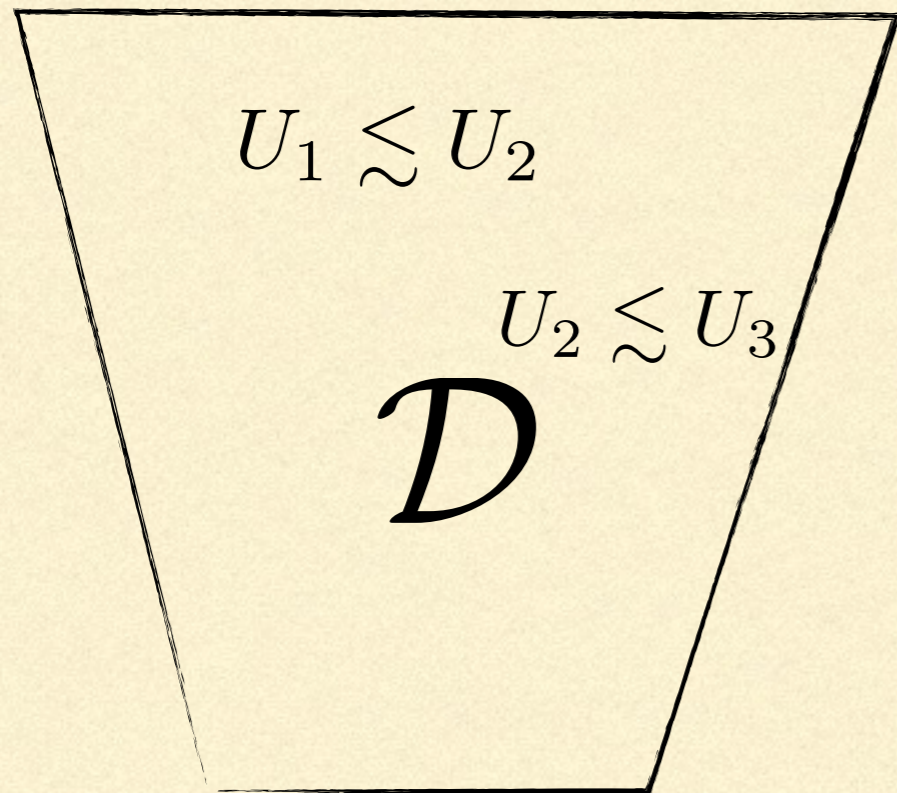


$$\varepsilon_{12} \vdash U_1 \lesssim U_2$$

Corresponds to Threesome
middle type

[Siek & Wadler, 2010]

EVIDENCE

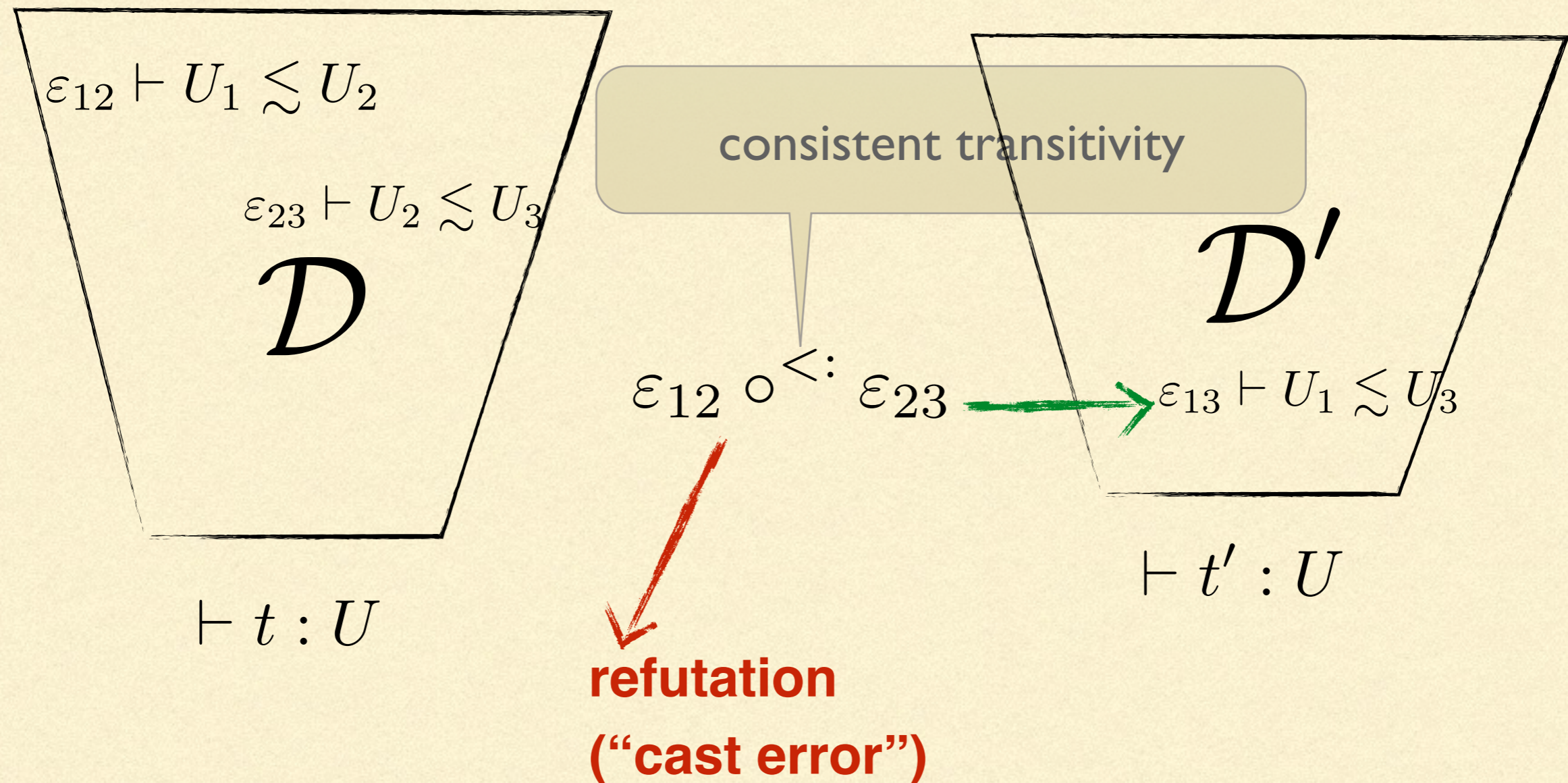


$\vdash t : U$

$$\langle U'_1, U'_2 \rangle \vdash U_1 \lesssim U_2$$

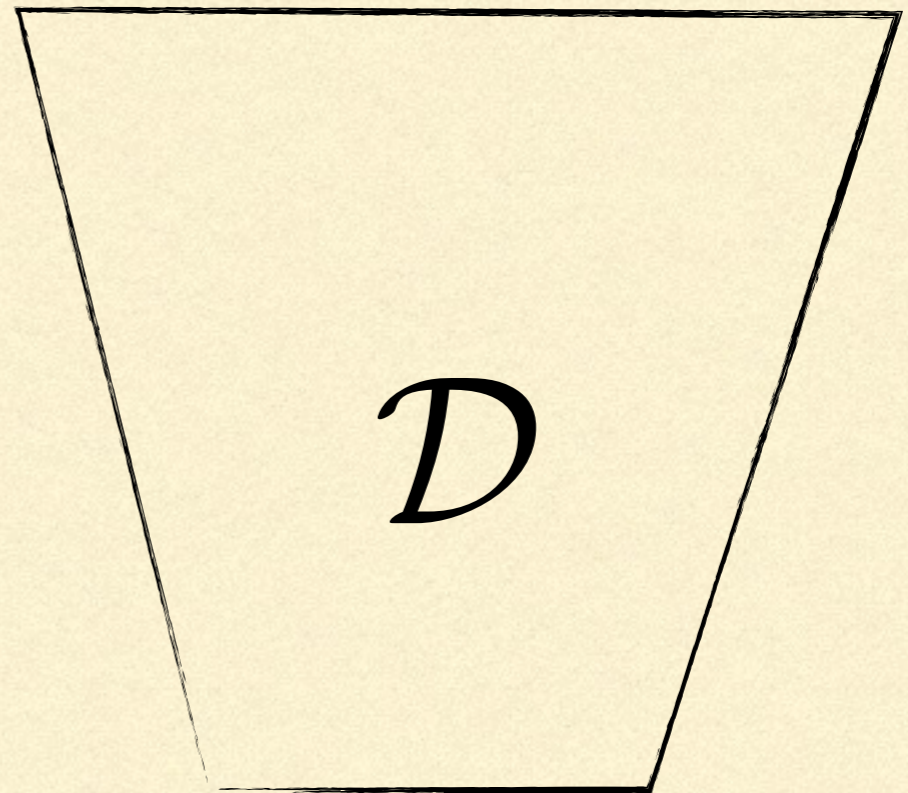
$$\varepsilon_{23} \vdash U_2 \lesssim U_3$$

CONSISTENT TRANSITIVITY



ABUNDANT EVIDENCE!

“Instrumentation Language”



t



$\vdash t : T$

ABUNDANT EVIDENCE!

$$\begin{array}{c} (\tilde{T}_x) \frac{x : \tilde{T} \in \Gamma}{\Gamma \vdash x : \tilde{T}} \quad (\tilde{T}_n) \frac{}{\Gamma \vdash n : \text{Int}} \quad (\tilde{T}_b) \frac{}{\Gamma \vdash b : \text{Bool}} \\ (\tilde{T}_{\text{app}}) \frac{\Gamma \vdash \tilde{t}_1 : \tilde{T}_1 \quad \Gamma \vdash \tilde{t}_2 : \tilde{T}_2 \quad \tilde{T}_2 \sim \widetilde{\text{dom}}(\tilde{T}_1)}{\Gamma \vdash \tilde{t}_1 \tilde{t}_2 : \widetilde{\text{cod}}(\tilde{T}_1)} \\ (\tilde{T}_+) \frac{\Gamma \vdash \tilde{t}_1 : \tilde{T}_1 \quad \Gamma \vdash \tilde{t}_2 : \tilde{T}_2 \quad \tilde{T}_1 \sim \text{Int} \quad \tilde{T}_2 \sim \text{Int}}{\Gamma \vdash \tilde{t}_1 + \tilde{t}_2 : \text{Int}} \\ (\tilde{T}_{\text{if}}) \frac{\Gamma \vdash \tilde{t}_1 : \tilde{T}_1 \quad \Gamma \vdash \tilde{t}_2 : \tilde{T}_2 \quad \Gamma \vdash \tilde{t}_3 : \tilde{T}_3 \quad \tilde{T}_1 \sim \text{Bool}}{\Gamma \vdash \text{if } \tilde{t}_1 \text{ then } \tilde{t}_2 \text{ else } \tilde{t}_3 : \tilde{T}_2 \sqcap \tilde{T}_3} \\ (\tilde{T}_\lambda) \frac{\Gamma, x : \tilde{T}_1 \vdash \tilde{t} : \tilde{T}_2}{\Gamma \vdash (\lambda x : \tilde{T}_1. \tilde{t}) : \tilde{T}_1 \rightarrow \tilde{T}_2} \quad (\tilde{T}_{::}) \frac{\Gamma \vdash \tilde{t} : \tilde{T} \quad \tilde{T} \sim \tilde{T}_1}{\Gamma \vdash (\tilde{t} :: \tilde{T}_1) : \tilde{T}_1} \end{array}$$

SPARSE CASTING

Siek & Taha: Gradual Typing for Objects (2008)

In the case when $\sigma' = \sigma$, we do not insert a cast, which is why we use the following helper function.

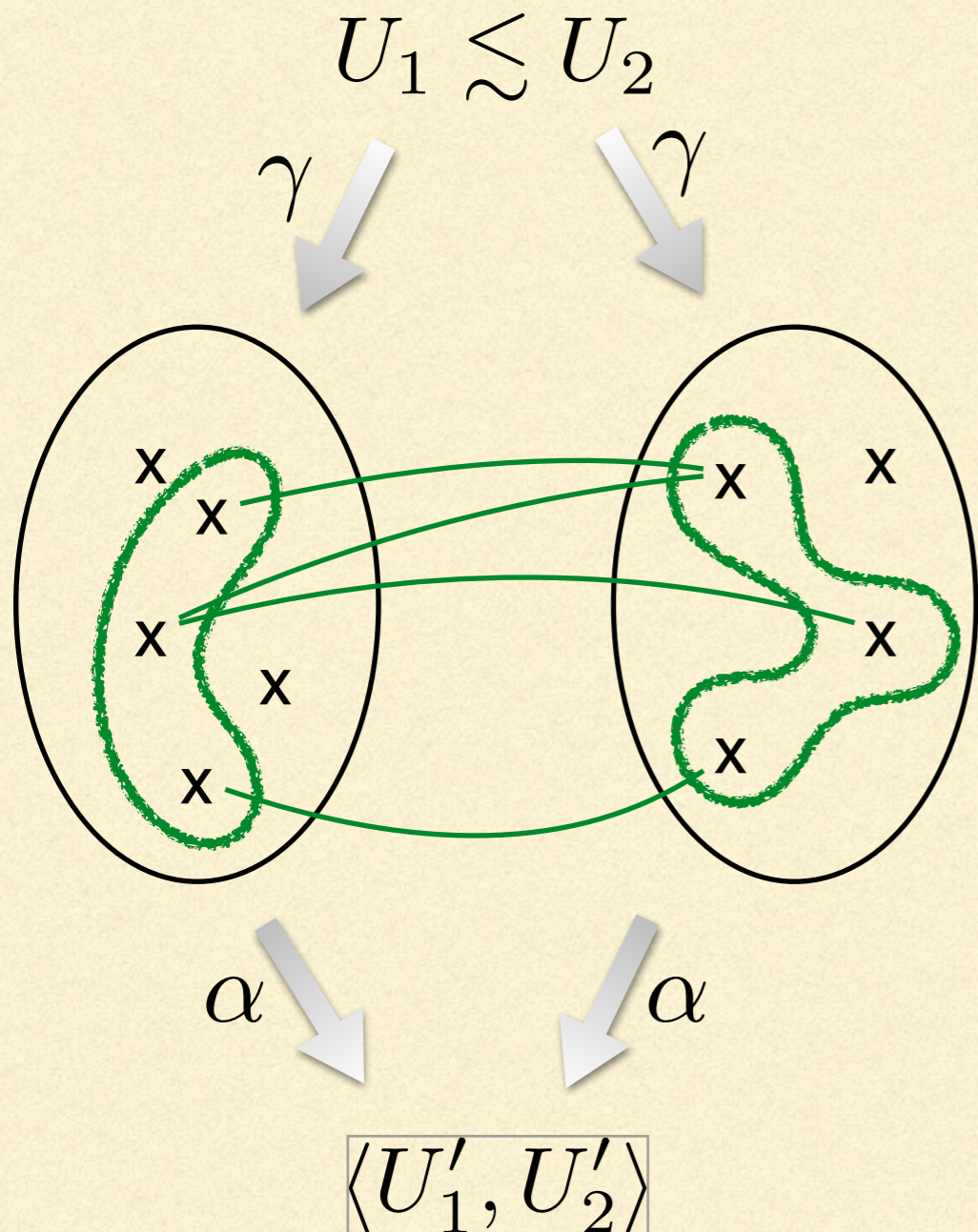
$$\langle\langle \tau \Leftarrow \sigma \rangle\rangle e \equiv \text{if } \sigma = \tau \text{ then } e \text{ else } \langle \tau \Leftarrow \sigma \rangle e$$

Plus: Operational Semantics
Discards “Useless” Casts

Question: when is evidence “useless”?

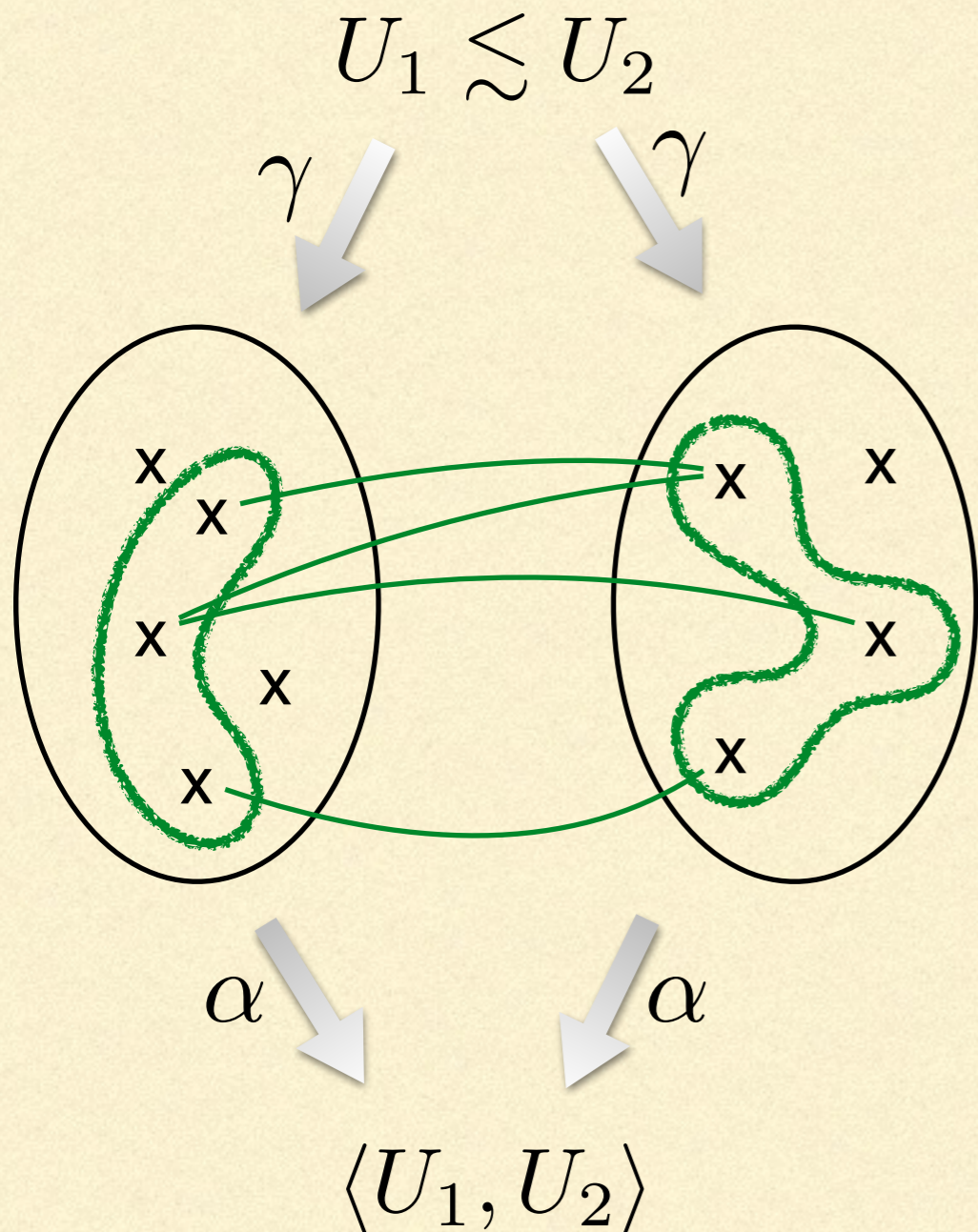


PROP 1: IRRELEVANT EVIDENCE



$$\langle U'_1, U'_2 \rangle \vdash U_1 \lesssim U_2$$

PROP 1: IRRELEVANT EVIDENCE



$$\langle U_1, U_2 \rangle \vdash U_1 \lesssim U_2$$

Mirrored Runtime Evidence
Adds Nothing Locally

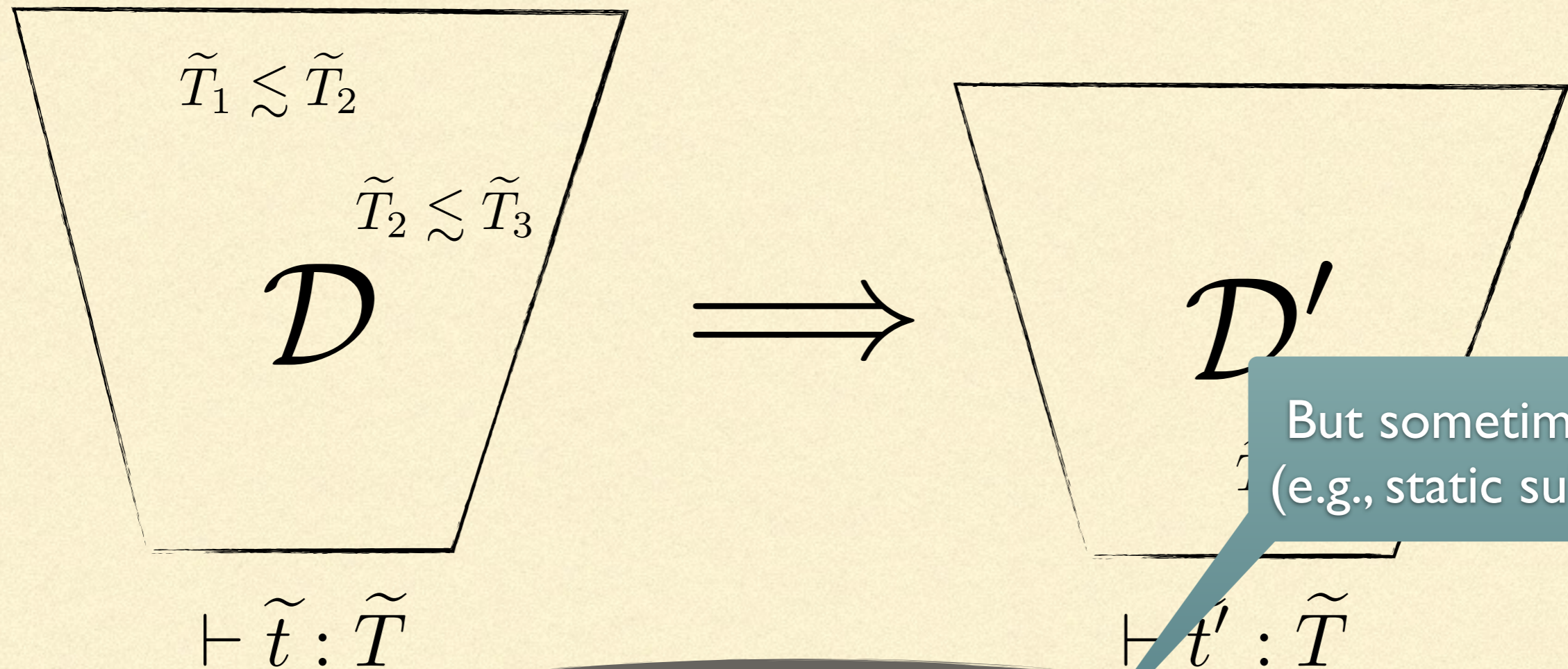
But may matter *globally*

$$[x : \text{Int}] \lesssim ?$$

$$? \lesssim [x : \text{Bool}]$$

$$[x : \text{Int}] \not\lesssim [x : \text{Bool}]$$

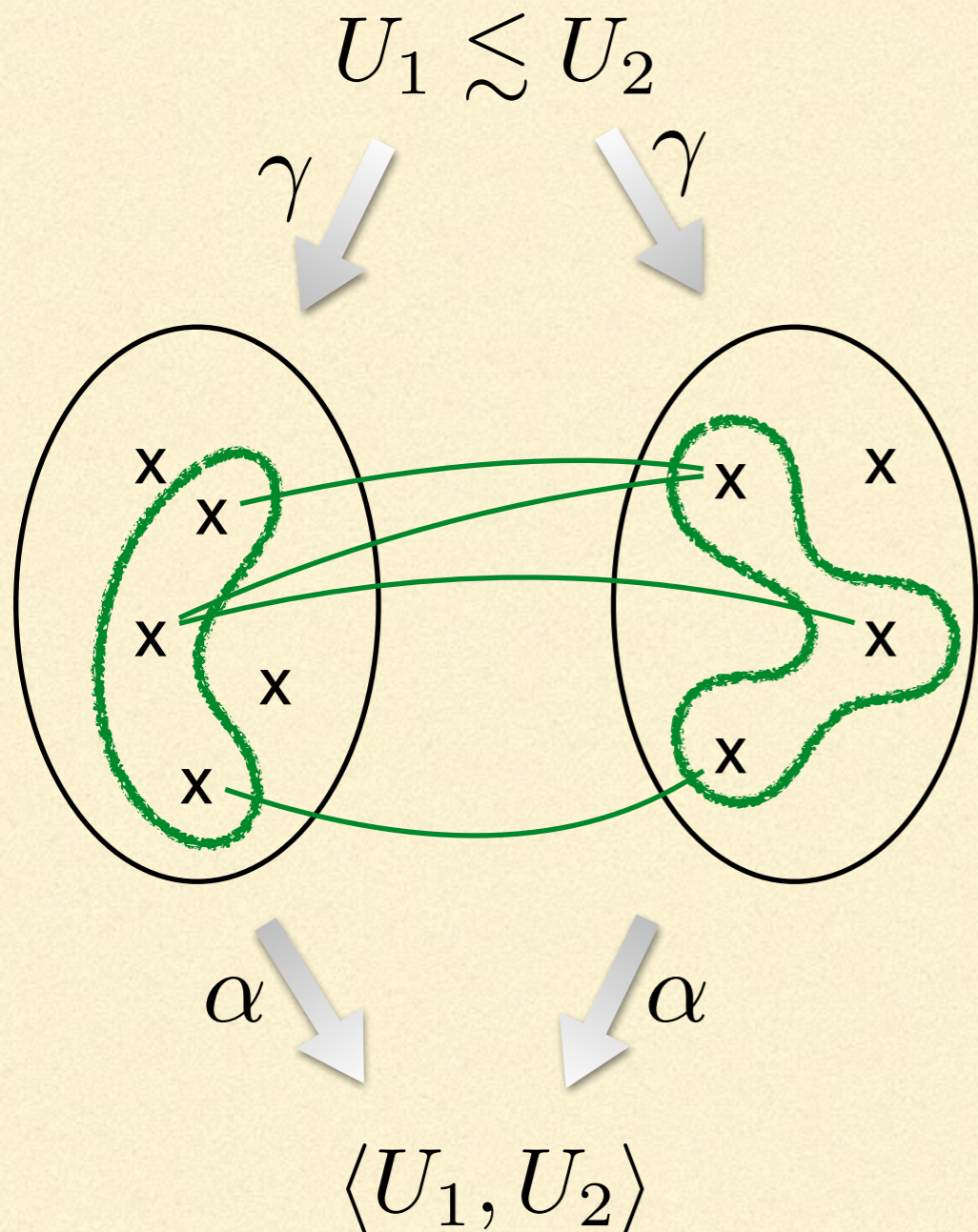
PROP 2: TRANSITIVE “KERNEL”



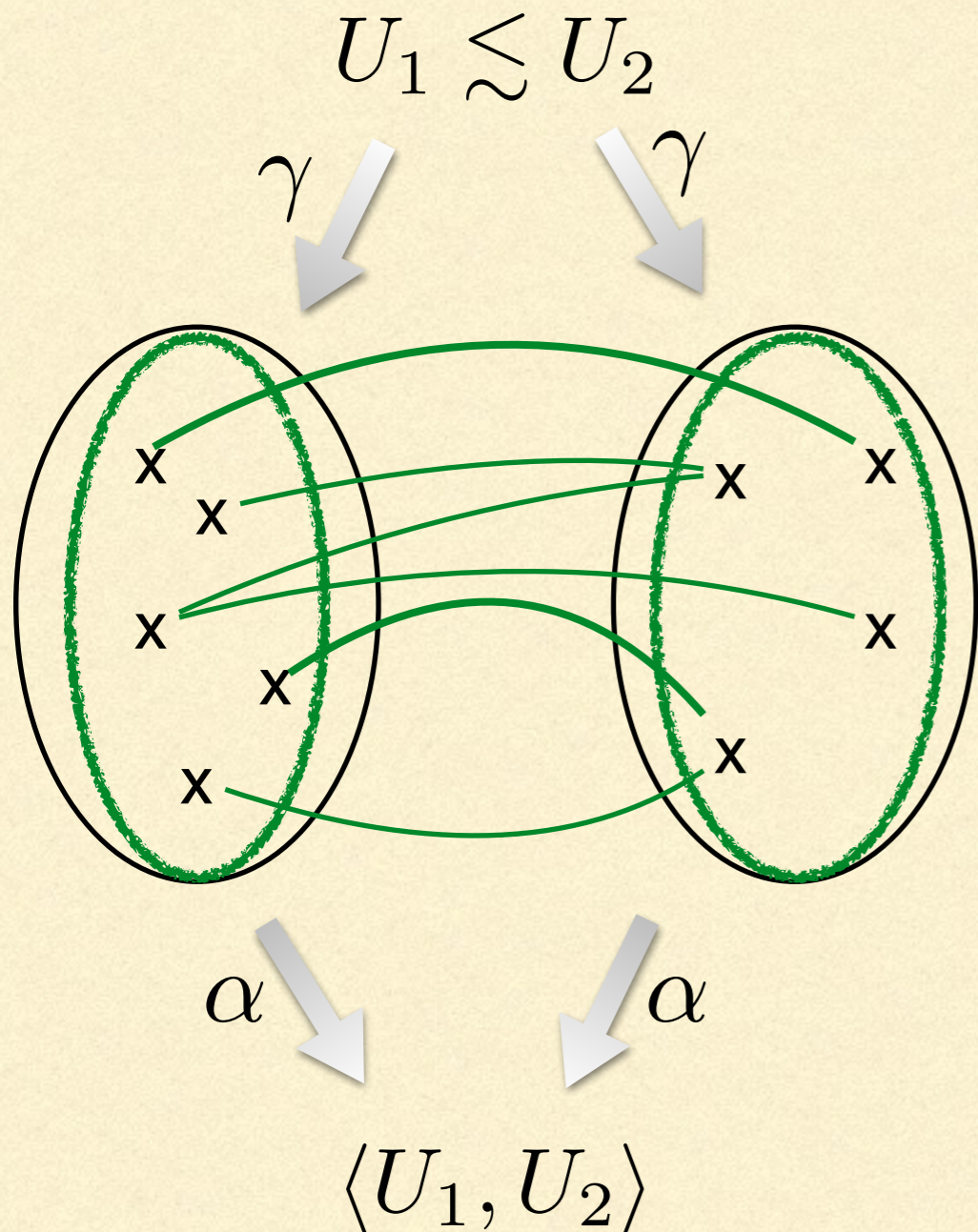
consistent subtyping is *not* transitive!

$\text{Int} \lesssim ?$ and $? \lesssim \text{Bool}$ but $\text{Int} \not\lesssim \text{Bool}$

PROP 2: TRANSITIVE “KERNEL”



PROP 2: TRANSITIVE “KERNEL”

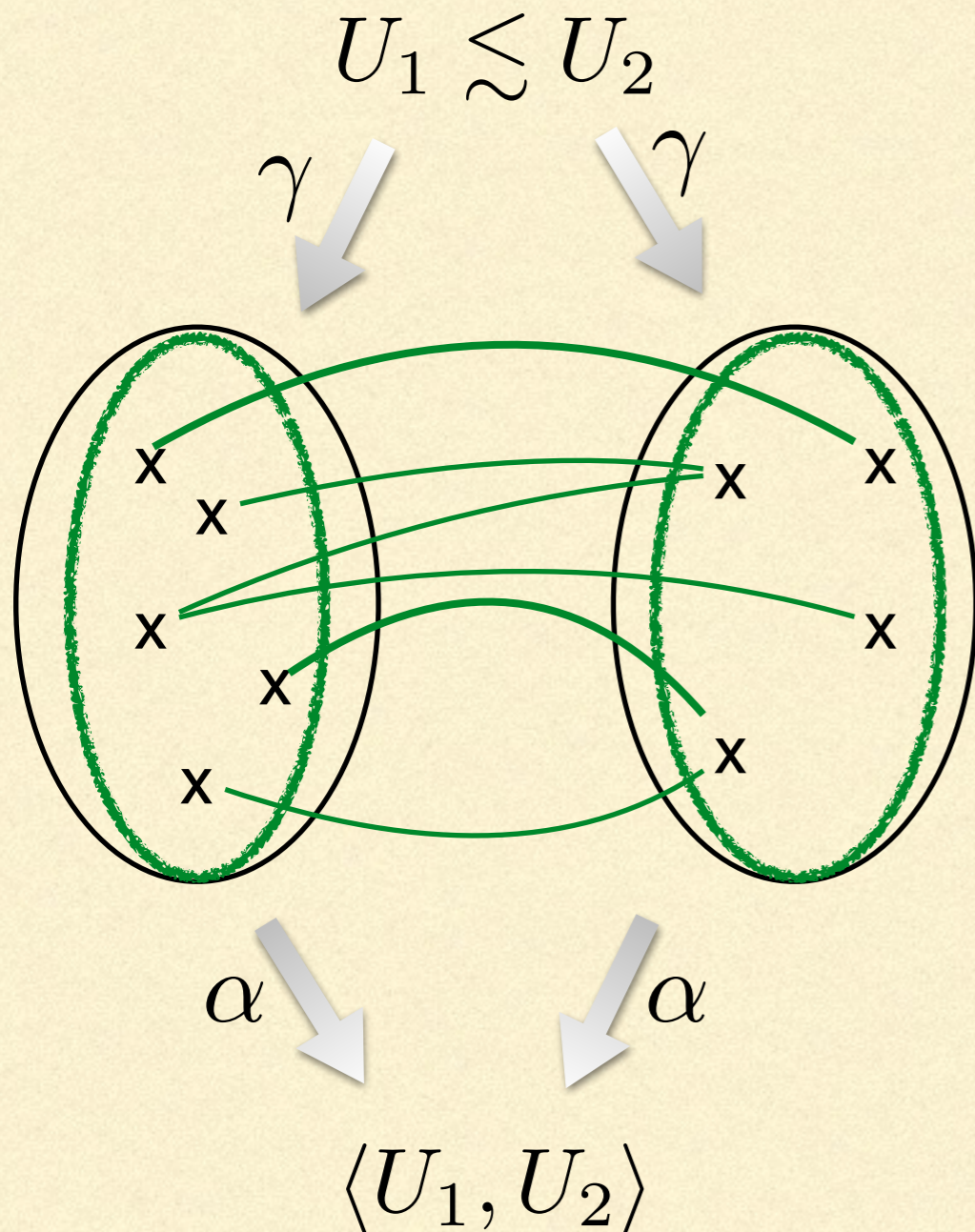


No “stray points” in concretization

$$U_1 <: U_2$$

Static Subtyping for Gradual Types!

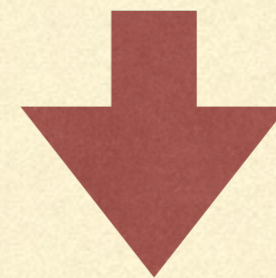
PROP 2: TRANSITIVE “KERNEL”



But instances may acquire relevant evidence at runtime!

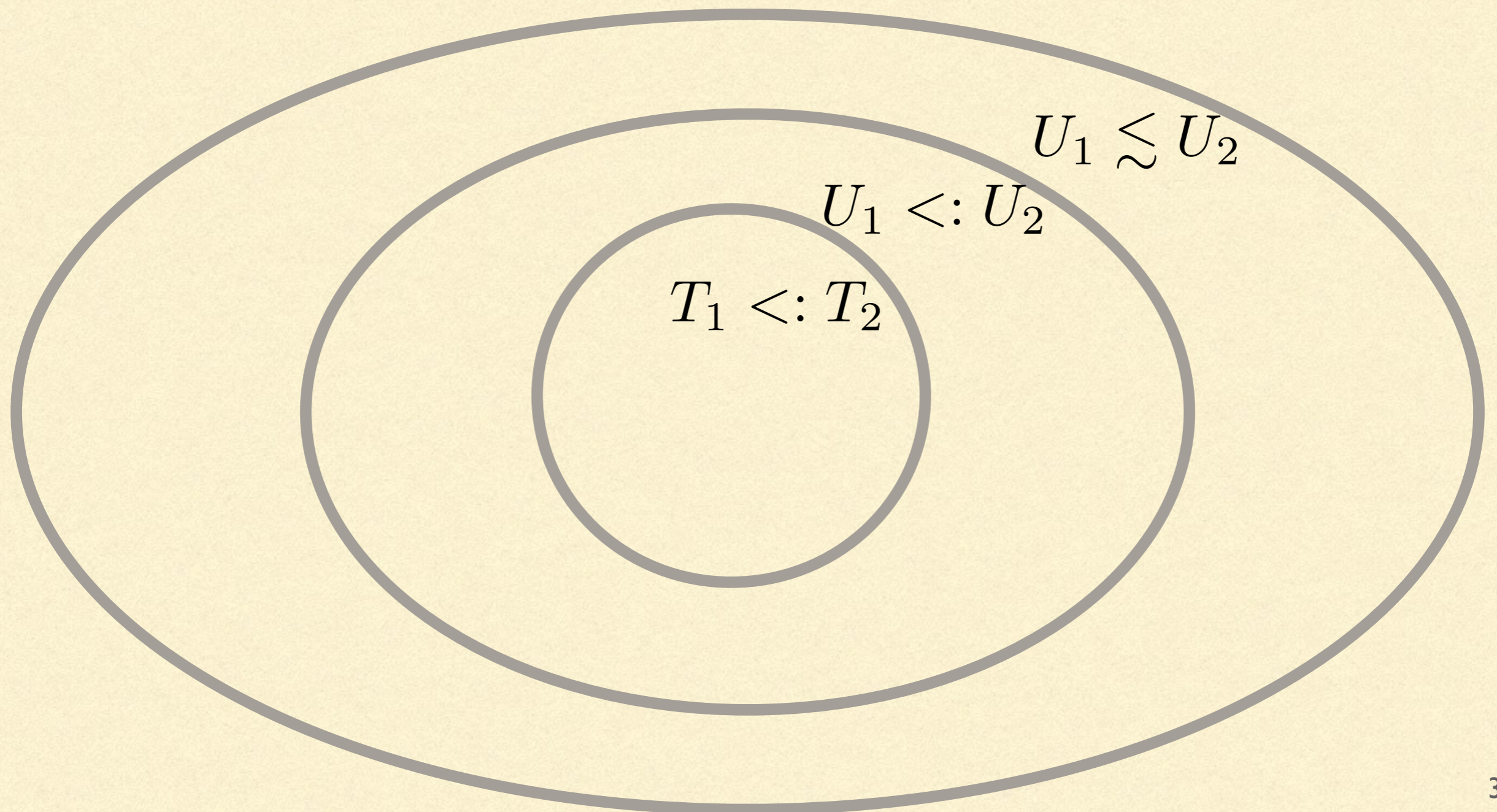
$\langle \text{Int}, \text{Int} \rangle \vdash ? \lesssim \text{Int}$

$\langle \text{Int}, \text{Int} \rangle \vdash \text{Int} \lesssim ?$



$\langle \text{Int}, \text{Int} \rangle \vdash ? \lesssim ?$

HAPPY MEDIUM



INTO THE WAYBACK MACHINE

[Siek 08] Gradual Typing for Objects

Proposition 2 (Properties of Consistent-Subtyping). *The following are equivalent:*

1. $\sigma \lesssim \tau$,
2. $\sigma <: \sigma'$ and $\sigma' \sim \tau$ for some σ' , and
3. $\sigma \sim \sigma''$ and $\sigma'' <: \tau$ for some σ'' .

Same “Gradual Subtyping” Relation

2 \Rightarrow 1 and 3 \Rightarrow 1 are trivial
Need to think about converses

SPARSE EVIDENCE INSERTION

$$U_1 <: U_2 \quad \rightarrow \quad \bullet \vdash U_1 <: U_2$$

$$U_1 \lesssim U_2 \quad \rightarrow \quad \langle U'_1, U'_2 \rangle \vdash U_1 \lesssim U_2$$

SPARSE REASONING

$$\langle U'_1, U'_2 \rangle \vdash U_1 \lesssim U_2$$

- $\vdash U_2 <: U_3$

- $\vdash U_3 <: U_4$

⋮

- $\vdash U_{k-2} <: U_{k-1}$

$$\langle U'_{k-1}, U'_k \rangle \vdash U_{k-1} \lesssim U_k$$

transitivity



$$\langle U'_1, U'_2 \rangle \vdash U_1 \lesssim U_2$$

- $\vdash U_2 <: U_{k-1}$

$$\langle U'_{k-1}, U'_k \rangle \vdash U_{k-1} \lesssim U_k$$

SPARSE REASONING

$$\begin{array}{l} \langle U'_1, U'_2 \rangle \vdash U_1 \lesssim U_2 \\ \bullet \vdash U_2 <: U_{k-1} \\ \langle U'_{k-1}, U'_k \rangle \vdash U_{k-1} \lesssim U_k \end{array} \quad \rightarrow \quad \begin{array}{l} \langle U'_1, U'_2 \rangle \vdash U_1 \lesssim U_2 \\ \langle U'_{k-1}, U'_k \rangle \vdash U_{k-1} \lesssim U_k \end{array}$$

Need to reconstruct the “gap”:
Threesome Calculus!
(eager checking semantics)

FURTHER WORK IN PROGRESS

- Greatest-Fixed Point Characterization of Gradual Subtyping
 - Directly captures “transitive kernel” concept
 - May help with proofs/semantics
- Proof of sparse/dense evaluation equivalence

CAST CALCULI \Leftrightarrow GRADUAL?

Threesomes, With and Without Blame*

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Instrumentation Language



“Cast Calculus”